

**HSGAC BIOGRAPHICAL QUESTIONS FOR EXECUTIVE NOMINEES**

**1. Basic Biographical Information**

Please provide the following information.

<i>Position to Which You Have Been Nominated</i>	
<u>Name of Position</u>	<u>Date of Nomination</u>
Assistant Secretary of Homeland Security, Transportation Security Administration	June 6, 2017

<i>Current Legal Name</i>			
<u>First Name</u>	<u>Middle Name</u>	<u>Last Name</u>	<u>Suffix</u>
David	Peter	Pekoske	

<i>Addresses</i>					
<u>Residential Address</u> (do not include street address)			<u>Office Address</u>		
			N/A		
<b>Street:</b>					
<b>City:</b> Potomac	<b>State:</b> MD	<b>Zip:</b> 20854	<b>City:</b>	<b>State:</b>	<b>Zip:</b>

*Other Names Used – N/A*

<i>Birth Year and Place</i>	
<u>Year of Birth</u> (Do not include month and day.)	<u>Place of Birth</u>
1955	Meriden, CT

<i>Marital Status</i>					
Check All That Describe Your Current Situation:					
Never Married	Married	Separated	Annulled	Divorced	Widowed
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<i>Spouse's Name (current spouse only)</i>			
<u>Spouse's First Name</u>	<u>Spouse's Middle Name</u>	<u>Spouse's Last Name</u>	<u>Spouse's Suffix</u>
Michele	Roy	Pekoske	

*Spouse's Other Names Used – N/A*

<i>Children's Names (if over 18)</i>			
<u>First Name</u>	<u>Middle Name</u>	<u>Last Name</u>	<u>Suffix</u>
Matthew	David	Pekoske	
Kristen	Michele	Pekoske	
Corrine	Nicole	Pekoske	
Jillian	Elysia	Pekoske	

## 2. Education

List all post-secondary schools attended.

<u>Name of School</u>	<u>Type of School</u> (vocational/technical/trade school, college/university/military college, correspondence/distance/extension/online school)	<u>Date Began School</u> (month/year) (check box if estimate)	<u>Date Ended School</u> (month/year) (check box if estimate) (check "present" box if still in school)	<u>Degree</u>	<u>Date Awarded</u>
U. S. Coast Guard Academy	Service Academy	June 1973	May 1977	B.S.	1977
Columbia University	University	September 1987	January 1989	MPA	1989
Massachusetts Institute of Technology	University	June 1996	June 1997	MBA	1997

## 3. Employment

- (A) List all of your employment activities, including unemployment and self-employment. If the employment activity was military duty, list separate employment activity periods to show each change of military duty station. Do not list employment before your 18th birthday unless to provide a minimum of two years of employment history.

<u>Type of Employment</u>	<u>Name of Employer/Assigned Duty Station</u>	<u>Most Recent Position Title/Rank</u>	<u>Location</u>	<u>Date Employment Began</u>	<u>Date Employment Ended</u>
Non-Government Employment	Frontier Security Strategies	Expert	Washington, DC	March 2017	Present
Non-Government Employment	PAE, Inc.	Vice President, National Programs	Arlington, VA	June 2015	October 2016
Non-Government Employment	American University	Adjunct Professorial Lecturer	Washington, DC	December 2011	Present
Non-Government Employment	A-T Solutions, Inc. (acquired by PAE in 2015)	Group President, National Security Solutions	Vienna, VA	June 2010	May 2015
Active Military Duty	U.S. Coast Guard HQ	Vice Commandant	Washington, DC	August 2009	May 2010
Active Military Duty Station	U.S. Coast Guard, Coast Guard Pacific Area	Commander	Alameda, CA	May 2008	July 2009
	Naval Postgraduate School, Center for Executive Education	Instructor	Monterey, CA	January 2007	Present
Active Military Duty Station	U.S. Coast Guard HQ	Assistant Commandant for Operations	Washington, DC	July 2006	May 2008
Active Military Duty Station	U.S. Coast Guard, First Coast Guard District	Commander	Boston, MA	June 2004	July 2006

Active Military Duty Station	U.S. Coast Guard HQ	Executive Assistant to the Commandant	Washington, DC	July 2001	June 2004
Active Military Duty Station	U.S. Coast Guard, Group/Marine Safety Office Long Island Sound	Group Commander/Captain of the Port/Officer in Charge, Marine Inspection	New Haven, CT	June 1999	June 2001
Active Military Duty Station	U.S. Coast Guard HQ, Program Review Division	Division Chief	Washington, DC	July 1997	June 1999
Active Military Duty Under Instruction	U.S. Coast Guard	Student	Massachusetts Institute of Technology	June 2006	June 2007
Active Military Duty Station	U.S. Coast Guard, Group Milwaukee	Group Commander	Milwaukee, WI	August 1993	May 1996
Active Military Duty Station	U.S. Coast Guard HQ, Programs Division	Program Reviewer	Washington, DC	May 1990	July 1993
Active Military Duty Station	U.S. Coast Guard HQ	Member - Health Care Delivery System Study Group	Washington, DC	September 1989	May 1990
Active Military Duty Station	U.S. Coast Guard HQ, Personnel Services Division	Staff Officer	Washington, DC	February 1989	September 1989
Active Military Duty Under Instruction	U.S. Coast Guard	Student	Columbia University	September 1987	January 1989
Active Military Duty Station	U.S. Coast Guard, Group Shinnecock	Group Commander	Hampton Bays, NY	September 1984	June 1987
Active Military Duty Station	U.S. Coast Guard, Eleventh District	Planning Officer, 1984 CG Olympics Task Force	Long Beach, CA	June 1983	August 1984
Active Military Duty Station	U.S. Coast Guard, Eleventh District	Admiral's Aide	Long Beach, CA	April 1981	June 1983
Active Military Duty Station	USCGC Point Evans	Commanding Officer	Long Beach, CA	April 1979	April 1981
Active Military Duty Station	USCGC DEPENDABLE	Deck Watch Officer	Panama City, FL	August 1977	March 1979
Active Military Duty Station	U.S. Coast Guard Academy	Waterfront Instructor	New London, CT	May 1977	August 1977

**(B) List any advisory, consultative, honorary or other part-time service or positions with federal, state, or local governments, not listed elsewhere.**

N/A

#### **4. Potential Conflict of Interest**

**(A) Describe any business relationship, dealing or financial transaction which you have had during the last 10 years, whether for yourself, on behalf of a client, or acting as an agent, that**

could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Department of Homeland Security's Designated Agency Ethics Official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Department's Designated Agency Ethics Official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

**(B) Describe any activity during the past 10 years in which you have engaged for the purpose of directly or indirectly influencing the passage, defeat or modification of any legislation or affecting the administration or execution of law or public policy, other than while in a federal government capacity.**

None

### **5. Honors and Awards**

**List all scholarships, fellowships, honorary degrees, civilian service citations, military medals, academic or professional honors, honorary society memberships and any other special recognition for outstanding service or achievement.**

Homeland Security Distinguished Service Medal  
Coast Guard Distinguished Service Medal (2 awards)  
Legion of Merit (2 awards)  
Meritorious Service Medal (5 awards)  
Coast Guard Commendation Medal (2 awards)  
Coast Guard Achievement Medal (4 awards)  
Coast Guard Commandant's Letter of Commendation (1 award)  
National Association of State Boating Law Administrators (NASBLA) Award

### **6. Memberships**

**List all memberships that you have held in professional, social, business, fraternal, scholarly, civic, or charitable organizations in the last 10 years.**

Unless relevant to your nomination, you do NOT need to include memberships in charitable organizations available to the public as a result of a tax deductible donation of \$1,000 or less, Parent-Teacher Associations or other organizations connected to schools attended by your children, athletic clubs or teams, automobile support organizations (such as AAA), discounts clubs (such as Groupon or Sam's Club), or affinity memberships/consumer clubs (such as frequent flyer memberships).

<b><u>Name of Organization</u></b>	<b><u>Dates of Your Membership</u> (You may approximate.)</b>	<b><u>Position(s) Held</u></b>
InfraGard National Members Alliance	2012-2015	Chairman of the Board and Board Member

Jewish Institute for the National Security of America (JINSA)	2014- Present	Board of Advisors
Heroes Linked: Military Veterans Appreciation Trust Foundation	2015- Present	Board of Advisors
Association for Rescue at Sea	2015 - Present	Board Member
National Academies of Sciences, Engineering and Medicine	2014- Present	Member, Naval Studies Board
National Academies of Sciences, Engineering and Medicine	2016- Present	Member - Committee on Polar Icebreaker Cost Assessment
U. S. Global Leadership Coalition	2012- Present	Member - National Security Advisory Council
Coast Guard Academy Board of Trustees	2006-2008	Member
Country Place Citizens Association	2012- Present	Chair - Covenants Committee
Coast Guard Academy Alumni Association	1977 - Present	Member
MIT Sloan Alumni Association	1997 - Present	Member

## **7. Political Activity**

**(A) Have you ever been a candidate for or been elected or appointed to a political office?**

No

**(B) List any offices held in or services rendered to a political party or election committee during the last ten years that you have not listed elsewhere.**

N/A

**(C) Itemize all individual political contributions of \$200 or more that you have made in the past five years to any individual, campaign organization, political party, political action committee, or similar entity. Please list each individual contribution and not the total amount contributed to the person or entity during the year.**

N/A

## **8. Publications and Speeches**

**(A) List the titles, publishers and dates of books, articles, reports or other published materials that you have written, including articles published on the Internet. Please provide the Committee with copies of all listed publications. In lieu of hard copies, electronic copies can be provided via e-mail or other digital format.**

<u>Title</u>	<u>Publisher</u>	<u>Date(s) of Publication</u>
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"Maritime Security for our Nation's Democratic Process," Joint Center for Operational Analysis and Lessons Learned,	Quarterly Bulletin	December 2004
Marine Safety Program Editorial	Pacific Maritime Magazine	October 2007
"Modernization and the Coast Guard Reserve"	Rudder Post Newsletter	November 2008

**(B) List any formal speeches you have delivered during the last five years and provide the Committee with copies of those speeches relevant to the position for which you have been nominated. Include any testimony to Congress or any other legislative or administrative body. These items can be provided electronically via e-mail or other digital format.**

<u>Title/Topic</u>	<u>Place/Audience</u>	<u>Date(s) of Speech</u>
Implementation of the SAFE Port Act	Senate Committee on Commerce, Science and Transportation	October 4, 2007

**(C) List all speeches and testimony you have delivered in the past ten years, except for those the text of which you are providing to the Committee.**

<u>Title</u>	<u>Place/Audience</u>	<u>Date(s) of Speech</u>
<b>2011</b>		
Cross-border risk management	Miami Beach FL – Maritime Security Conference	February 2011
<b>2010</b>		
Ocean Policy Task Force	Providence, RI Public hearing	May 4, 2010
Commissioning of National Security Cutter (NSC) USCGC Waesche	Alameda, CA Public event	May 7, 2010
National Defense Industrial Association 2010 Homeland Security Symposium & Exhibition Panelist	Industry stakeholders Arlington, VA	September 28, 2010
<b>2009</b>		
Global Maritime Information Sharing Symposium	Washington, DC Maritime industry stakeholders (public and private entities)	September 2009
Barbers Point Aircrew Memorial	Barbers Point, HI	September 4, 2009
National Association of State Boating Law Administrators	Corpus Christi, TX	September 2009
Coast Guard Innovation Expo	Virginia Beach, Virginia Event was open to the public	November 17, 2009
<b>2008</b>		
Sport Fishing and Boating Safety Trust Fund	Partners Dinner	January 14, 2008
"Conversation with the Country"	Joint event with the Navy and Marine Corps, Portland, OR	February 21, 2008
Navy Executive Business Course II	Seminar, Babson Univ, Wellesley MA	March 6, 2008
Hellenic Marine Environmental Protection Association	Athens, Greece	March 20, 2008

"Conversation with the Country"	Joint event with the Navy and Marine Corps, Los Angeles, CA	April 16, 2008
Milwaukee Armed Forces Week	Dinner, Milwaukee WI	May 12, 2008
Coast Guard Foundation	Pacific Northwest Awards Dinner, San Francisco, CA	August 14, 2008
North Pacific Coast Guard Forum	Welcoming Dinner, San Francisco, CA	September 9, 2008
Pacific Northwest Coast Guard Ball	Seattle, WA	September 8, 2008
Coast Guard Alameda Officers Association	Luncheon, Alameda, CA	October 1, 2008
Navy Executive Business Course	Babson Univ., Wellesley, MA	October 17, 2008
National Homeland Defense Symposium	Arlington, VA	October 29, 2008
Honolulu Coast Guard Officers Association Luncheon	Honolulu, HI	November 5, 2008
Navy League	Pearl Harbor Remembrance Dinner, Alameda, CA	December 7, 2008
Navy League	Pacific Merchant Marine Council Luncheon, San Francisco, CA	December 8, 2008
<b>2007</b>		
Border Security	House Committee on Homeland Security, Subcommittee Hearing on Border, Maritime and Global Counterterrorism	February 13, 2007
Association of Naval Service Officers	Conference	March 23, 2007
National Naval Officers Association	Conference San Diego, CA	July 17, 2007
National Association of State Boating Law Administrators	Conference Burlington, VT	September 7, 2007
National Boating Safety Advisory Committee	Advisory Committee Washington, DC	October 20, 2007
National Commercial Fishing Industry Vessel Safety Advisory Committee	Advisory Committee Washington, DC	November 13, 2007
eNavigation Conference	Washington, DC	November 13, 2007

## **9. Criminal History**

**Since (and including) your 18<sup>th</sup> birthday, has any of the following happened?**

- Have you been issued a summons, citation, or ticket to appear in court in a criminal proceeding against you? (Exclude citations involving traffic infractions where the fine was less than \$300 and did not include alcohol or drugs.)

No

- Have you been arrested by any police officer, sheriff, marshal or any other type of law enforcement official?

No

- Have you been charged, convicted, or sentenced of a crime in any court?

No

- Have you been or are you currently on probation or parole?

No

- Are you currently on trial or awaiting a trial on criminal charges?

No

- To your knowledge, have you ever been the subject or target of a federal, state or local criminal investigation?

No

### **10. Civil Litigation and Administrative or Legislative Proceedings**

**(A) Since (and including) your 18th birthday, have you been a party to any public record civil court action or administrative or legislative proceeding of any kind that resulted in (1) a finding of wrongdoing against you, or (2) a settlement agreement for you, or some other person or entity, to make a payment to settle allegations against you, or for you to take, or refrain from taking, some action. Do NOT include small claims proceedings.**

No

**(B) In addition to those listed above, have you or any business of which you were an officer, director or owner ever been involved as a party of interest in any administrative agency proceeding or civil litigation? Please identify and provide details for any proceedings or civil litigation that involve actions taken or omitted by you, or alleged to have been taken or omitted by you, while serving in your official capacity.**

<b><u>Date Claim/ Suit Was Filed</u></b>	<b><u>Court Name</u></b>	<b><u>Name(s) of Principal Parties Involved in Action/Proceeding</u></b>	<b><u>Nature of Action/Proceeding</u></b>	<b><u>Results of Action/Proceeding</u></b>
2005	Mediation	Protected by confidentiality agreement	complaint of discrimination under the Age Discrimination Employment Act of 1967; mediation process	Confidential settlement reached on September 20, 2005

**(C) For responses to the previous question, please identify and provide details for any proceedings or civil litigation that involve actions taken or omitted by you, or alleged to have been taken or omitted by you, while serving in your official capacity.**

There was a complaint of discrimination under the Age Discrimination Employment Act of 1967 initiated by an employee in 2005. A mediation process was implemented and all issues between the



parties have been resolved. The agreement, signed on September 20, 2005, contains a confidentiality clause, which prohibits divulging the details of the case.

### **11. Breach of Professional Ethics**

**(A) Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, professional association, disciplinary committee, or other professional group? Exclude cases and proceedings already listed.**

No

**(B) Have you ever been fired from a job, quit a job after being told you would be fired, left a job by mutual agreement following charges or allegations of misconduct, left a job by mutual agreement following notice of unsatisfactory performance, or received a written warning, been officially reprimanded, suspended, or disciplined for misconduct in the workplace, such as violation of a security policy?**

No

### **12. Tax Compliance**

**(This information will not be published in the record of the hearing on your nomination, but it will be retained in the Committee's files and will be available for public inspection.)**

**REDACTED**

**REDACTED**

**13. Lobbying**

**In the past ten years, have you registered as a lobbyist? If so, please indicate the state, federal, or local bodies with which you have registered (e.g., House, Senate, California Secretary of State).**

No

**14. Outside Positions**

**X See OGE Form 278. (If, for your nomination, you have completed an OGE Form 278 Executive Branch Personnel Public Financial Disclosure Report, you may check the box here to complete this section and then proceed to the next section.)**

**For the preceding ten calendar years and the current calendar year, report any positions held, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.**

**15. Agreements or Arrangements**

**X See OGE Form 278. (If, for your nomination, you have completed an OGE Form 278 Executive Branch Personnel Public Financial Disclosure Report, you may check the box here to complete this section and then proceed to the next section.)**

**As of the date of filing your OGE Form 278, report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment.**

**Provide information regarding any agreements or arrangements you have concerning (1) future employment; (2) a leave of absence during your period of Government service; (3) continuation of payments by a former employer other than the United States Government; and (4) continuing participation in an employee welfare or benefit plan maintained by a former employer other than United States Government retirement benefits.**

### **16. Additional Financial Data**

**All information requested under this heading must be provided for yourself, your spouse, and your dependents. (This information will not be published in the record of the hearing on your nomination, but it will be retained in the Committee's files and will be available for public inspection.)**

**REDACTED**

**SIGNATURE AND DATE**

**I hereby state that I have read the foregoing Statement on Biographical and Financial Information and that the information provided therein is, to the best of my knowledge, current, accurate, and complete.**

David P. Rebeck

This 22 day of June, 2017